

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Lee Kamentsky, Peter Hall and Chaitanya Kanojia  
Application No.: 10/003,805 Group Art Unit: 2142  
Filed: November 2, 2001 Examiner: Shah, Kamini S.  
Confirmation No.: 4781  
Title: LOAD BALANCING IN SET TOP CABLE BOX ENVIRONMENT

Date: <u>5/9/05</u> EXPRESS MAIL LABEL NO. <u>EV214957035US</u>
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INFORMATION DISCLOSURE STATEMENT

Mail Stop 313 (c)  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or  
(First/Second submission after Final Rejection)
- ☒ under 37 CFR 1.97(b), or  
(Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the national stage in an international application; or before the mailing date of a first office action on the merits in a non-provisional application, including a CPA, or a Request for Continued Examination).
- ☐ under 37 CFR 1.97(c) together with either:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, or
- ☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, and
- ☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)
- ☐ under 37 CFR 1.97(i):  
Applicant requests that the IDS and cited reference(s) be placed in the application filewrapper.  
(Filed after payment of issue fee)

Statement Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Statement Under 37 CFR 1.704(d) (Patent Term Adjustment)

Applies to original applications (other than design) filed on or after May 29, 2000

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.
- ☒ Enclosed herewith is form PTO-1449:
  - ☒ Copies of the cited references are enclosed.
    - ☒ Copies of issued U.S. patents and published U.S. applications are not required and are not being provided.
  - ☐ Copies of the cited references are enclosed except those entered in prior application, U.S. Application No. [ ], to which priority under 35 U.S.C. 120 is claimed. [The earlier application contains copies of the cited references.]
  - ☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.
  - ☐ The "concise explanation" requirement (non-English references) for reference(s) [ ] under 37 CFR 1.98(a)(3) is satisfied by:
    - ☐ the explanation provided on the attached sheet.
    - ☐ the explanation provided in the Specification.
    - ☐ submission of the enclosed International Search Report.
    - ☐ submission of the enclosed English-language version of a foreign Search Report and/or foreign Office Action.
    - ☐ the enclosed English language abstract.

☐ Applicant requests that the following non-published pending applications be considered:

Examiner's  
Initials

\_\_\_\_ U.S. Patent Application No. [ ], by [inventor(s)], filed [ ], Docket No.: [ ]  
\_\_\_\_ U.S. Patent Application No. [ ], by [inventor(s)], filed [ ], Docket No.: [ ]  
\_\_\_\_ U.S. Patent Application No. [ ], by [inventor(s)], filed [ ], Docket No.: [ ]

\_\_\_\_\_  
Examiner

\_\_\_\_\_  
Date

- ☐ A copy of each above-cited application, including the current claims, is enclosed, except any application filed on or after June 30, 2003, which has been scanned into the PTO's Image File Wrapper (IFW) system and is available to the examiner.
- ☐ A copy of each above-cited application, including the current claims, is enclosed, except those entered in prior application, U.S. Application No. [ ], to which priority under 35 U.S.C. 120 is claimed.

The Examiner is requested to return a copy of the above list of pending applications indicating which references were considered with the next office communication.

It is requested that the information disclosed herein be made of record in this application.

Method of payment:

- ☐ A check for the fee noted above is enclosed, or the fee has been included in the check with the accompanying Reply. A copy of this Statement is enclosed.
- ☐ Please charge Deposit Account 08-0380 in the amount of \$[ ]. A copy of this Statement is enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account 08-0380.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

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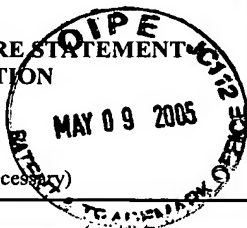
Dated: 5/9/05

PTO-1449 REPRODUCED

**INFORMATION DISCLOSURE STATEMENT  
IN AN APPLICATION**

May 9, 2005

(Use several sheets if necessary)


 ATTORNEY DOCKET NO.  
2657.2004-001

 APPLICATION NO.  
10/003,805

 FIRST NAMED INVENTOR  
Lee Kamensky

 FILING DATE  
November 2, 2001

 EXAMINER  
Shah, Kamini S.

 CONFIRMATION NO.  
4781

 GROUP  
2142

**U.S. PATENT DOCUMENTS**

EXAM- INER INI- TIAL	REF. NO.	DOCUMENT NUMBER Number-Kind Code (if known)	ISSUE DATE / PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT
	A1	5,532,732	07/02/1996	Yuen, <i>et al.</i>
	A2	5,819,036	10/06/1998	Adams, <i>et al.</i>
	A3	6,400,722	06/04/2002	Chuah, <i>et al.</i>
	A4	6,779,039	08/17/2004	Bommareddy, <i>et al.</i>
	A5	6,549,522	04/15/2003	Flynn
	A6	6,195,706	02/27/2001	Scott
	A7	6,307,843	10/23/2001	Okanoue
	A8	6,804,720	10/12/2004	Vilander, <i>et al.</i>
	A9	6,397,260	05/28/2002	Wils, <i>et al.</i>
	A10	6,789,118	09/07/2004	Rao
	A11			
	A12			
	A13			
	A14			
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DATE CONSIDERED

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Shah, Kamini S.

 CONFIRMATION NO.  
4781

 GROUP  
2142

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

C1	Anerousis, N., <i>et al.</i> , "Service Level Routing on the Internet", Global Telecommunications Conference - Globecom '99, 1B:553-559 1999.
C2	Hunt <sup>a</sup> , G, D.H., <i>et al.</i> , "Network Dispatcher: a connection router for scalable Internet services", IBM T.J. Watson Research Center, Yorktown Heights, NY, U.S.A. Retrieved from <a href="http://decweb.ethz.ch/www7/1899/com1899.htm">http://decweb.ethz.ch/www7/1899/com1899.htm</a> on 12/20/2004, pages 1-13.
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EXAMINER

DATE CONSIDERED